

**CERTIFICATE OF MAILING 37 C.F.R. 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450; Alexandria VA; 20231-1450, on the date of signature below.

Date

9-25-2006

Carrie McKerley

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Inventor(s):</b>	Leon M. Clements, et al	<b>Confirmation No:</b>	2679
<b>Application No.:</b>	10/806,878	<b>Examiner:</b>	Christopher L. Gilligan
<b>Filing Date:</b>	March 23, 2004	<b>Group Art Unit:</b>	3626
<b>Title:</b>	Pharmaceutical Inventory And Dispensation Computer System And Methods	<b>Atty Docket No:</b>	0771CG.035249

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Enclosed for filing in the above-identified case are the following documents:

- ☒ Information Disclosure Statement;
- ☒ Form PTO/SB/08A with copies of non-US cited reference(s); and
- ☒ Return receipt postcard

Applicant does not believe that any fees are due at this time, however, Applicant hereby authorizes the Director to charge any fees that may be required to Deposit Account No. 50-0529.

Date:

9-21-06

Respectfully submitted,

Jeffrey S. Whittle  
Reg. No. 36,382  
Bracewell & Giuliani LLP  
P.O. Box 61389  
Houston, Texas 77208-1389  
Telephone: 713-221-1185  
Facsimile: 713-222-2141



**CERTIFICATE OF MAILING 37 C.F.R. 1.8(a)**

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria VA 22313-1450 on the date indicated below:

9-25-2006  
Date

Carrie McKerley  
Carrie McKerley

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Inventor(s):</b>	Leon M. Clements, et al	<b>Confirmation No:</b>	2679
<b>Application No.:</b>	10/806,878	<b>Examiner:</b>	Christopher L. Gilligan
<b>Filing Date:</b>	March 23, 2004	<b>Group Art Unit:</b>	3626
<b>Title:</b>	Pharmaceutical Inventory And Dispensation Computer System And Methods	<b>Atty Docket No:</b>	0771CG.035249

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop: AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on attached Form PTO/SB/08A be considered by the Examiner and made of record. A copy of each of the listed references is enclosed for the convenience of the Examiner.

This Information Disclosure Statement is submitted under 37 CFR 1.97(c), together with a Statement under 37 CFR 1.97(e).

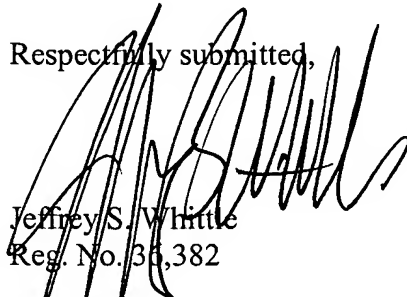
While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g-h), this Information Disclosure Statement is not to be construed as a representation that a search has been made or construed as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

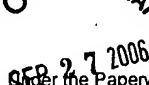
Date: \_\_\_\_\_

9-21-06

Respectfully submitted,

  
Jeffrey S. Whittle  
Reg. No. 36,382

Bracewell & Giuliani LLP  
P.O. Box 61389  
Houston, Texas 77208-1389  
Telephone: 713-221-1185  
Facsimile: 713-221-2141



Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 449/PTO

*(Use as many sheets as necessary)*

**Complete if Known**

Application Number	10/806,878
Filing Date	MARCH 23, 2004
First Named Inventor	LEON M. CLEMENTS
Art Unit	3626
Examiner Name	UNASSIGNED
Attorney Docket Number	0771CG 035249

Sheet	1	of	2
-------	---	----	---

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		EP 0 510 615 A	10-28-1992	NEELEY, et al.		✓
		WO 99/41014 A	08-19-1999	PERKIN, et al.		✓
		EP 1 237 113 A	09-04-2002	VIGILANZ CORPORATION		✓

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>7</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>			
		Application Number	10/806,878		
		Filing Date	MARCH 23, 2004		
		First Named Inventor	LEON M. CLEMENTS		
		Art Unit	3626		
		Examiner Name	UNASSIGNED		
Sheet	2	of	2	Attorney Docket Number	0771CG.035249

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		J. CARMENATES, et al: "Impact of Automation on Pharmacist Interventions and Medication Errors in a Correctional Health Care System," JOURNAL OF THE AMERICAN SOCIETY OF HEALTH	✓
		PASCAL BONNABRY: "Information Technologies for the Prevention of Medication," BUSINESS BRIEFING: European Pharmacotherapy 2003, 'Online' 2003, pages 1-5	✓

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.